



# Britannic Investment Management Limited

PO Box 856  
HARROGATE  
North Yorkshire  
HG1 9AE

Tel: +44 (0)1423 451 025  
Email: [office@britannicholdings.com](mailto:office@britannicholdings.com)  
Web: [www.britannicholdingscorporation.com](http://www.britannicholdingscorporation.com)

## Risk Management Policy

### 1. Introduction

This Risk Management Policy outlines the procedures and protocols that Britannic Investment Management Limited has implemented to identify, assess, and manage risks associated with our investment activities.

As a company pursuing value investing and takeover arbitrage strategies, we recognize that the risks we face are varied and complex, and we are committed to implementing robust risk management practices to protect our clients' interests.

### 2. Risk Identification

Britannic Investment Management Limited has implemented a comprehensive risk identification process to identify the various risks associated with our investment activities. This process includes regular assessments of market risk, credit risk, operational risk, and liquidity risk. We also maintain a risk register that tracks all identified risks, the likelihood of occurrence, and the potential impact on our business and clients.

### 3. Risk Assessment

Once risks have been identified, Britannic Investment Management Limited conducts a risk assessment to evaluate the likelihood and potential impact of each risk. This assessment is conducted on an ongoing basis and considers both internal and external factors that could impact our business and clients. We use a variety of tools and models to assess risk, including scenario analysis, stress testing, and sensitivity analysis.

### 4. Risk Mitigation

Britannic Investment Management Limited has implemented a range of risk mitigation measures to reduce the likelihood and potential impact of identified risks. These measures include, but are not limited to:

- Diversification of investments to minimize exposure to any one asset or sector;
- Active management of investments to monitor performance and adjust strategies as necessary;
- Regular monitoring of market trends and conditions to identify emerging risks;
- Development of contingency plans to mitigate potential risks; and
- Adherence to regulatory and compliance requirements to ensure legal and ethical conduct

## **5. Risk Monitoring and Reporting**

Britannic Investment Management Limited monitors risks on an ongoing basis to ensure that our mitigation strategies are effective and to identify emerging risks. We also maintain a risk dashboard that provides a regular overview of our risk exposure and any changes in risk levels. This dashboard is reviewed and reported to our clients on a regular basis, as per regulatory requirements.

## **6. Business Continuity and Disaster Recovery**

Britannic Investment Management Limited has implemented a business continuity and disaster recovery plan to ensure that our operations can continue in the event of a disruption or disaster.

This plan includes procedures for backup and recovery of data and systems, alternative work arrangements, and communication with clients and stakeholders.

## **7. Conclusion**

At Britannic Investment Management Limited, we take our risk management responsibilities seriously and are committed to implementing robust practices to protect our clients' interests.

This Risk Management Policy outlines the procedures and protocols that we have implemented to identify, assess, and manage risks associated with our investment activities. We review and update this policy on an ongoing basis to ensure that it remains effective and relevant.